Working with Frameworks

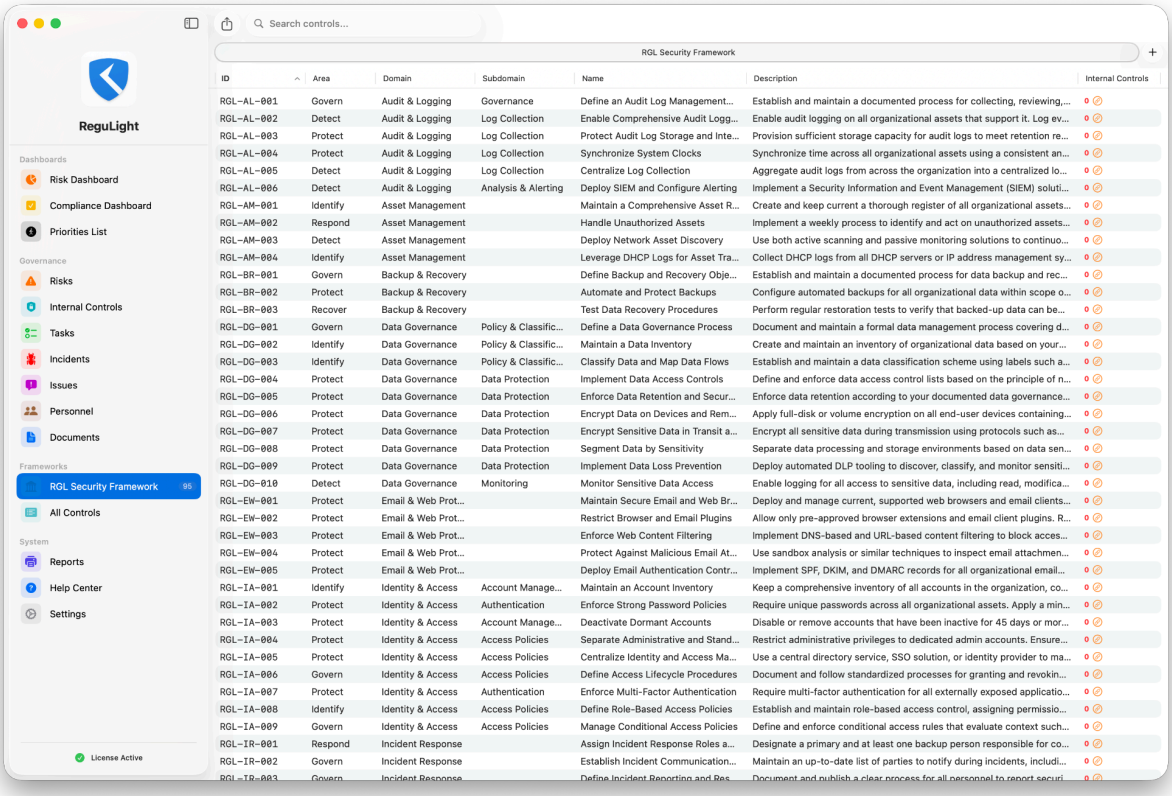
This document outlines how to implement compliance frameworks within **ReguLight**. By following this workflow, you will transform external regulatory requirements into actionable, measurable internal processes.

1. Core Concepts: Requirements vs. Implementation

In ReguLight, a clear distinction is made between what you *must* do and what you *actually* do:

- **Framework Controls:** These are external requirements (e.g., ISO 27001, DORA, NIS2, or the **ReguLight Security Framework**). They define the standard you are aiming for.

- **Internal Controls:** these are your company's specific measures—policies, automated tools, or manual procedures—that satisfy a Framework Control and mitigate business risks.



2. Importing the ReguLight Security Framework

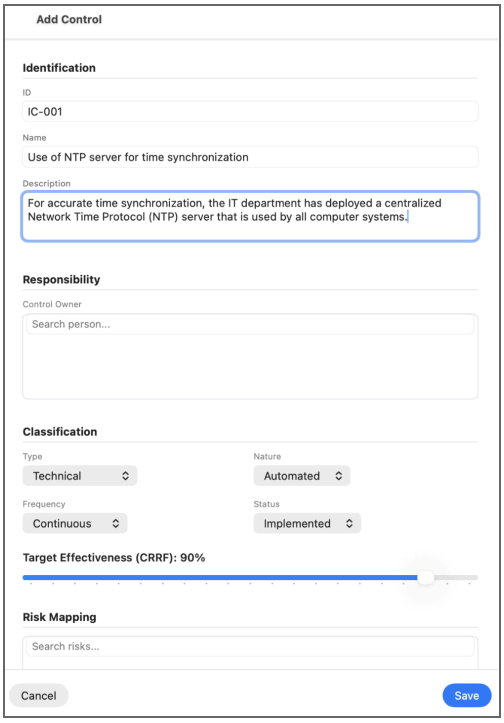
The quickest way to start is by importing the ReguLight Security Framework.

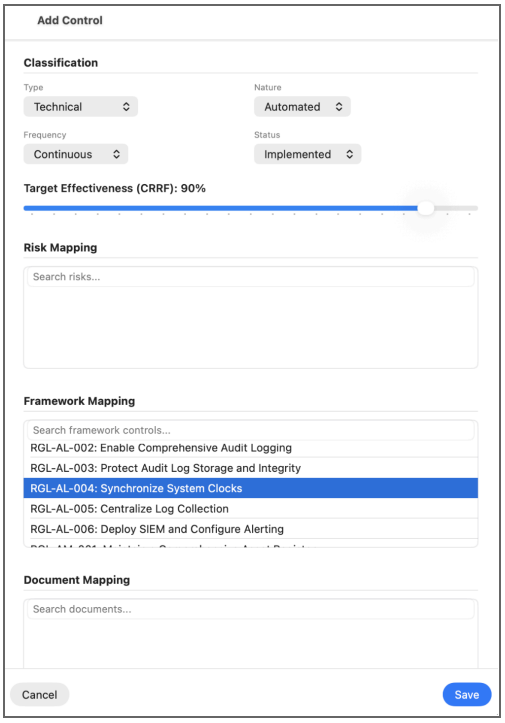
1. Download the RGL Security Framework.csv from regulight.eu/docs.
2. In ReguLight, navigate to **Settings → Compliance Frameworks**.
3. Click the **Import Framework (CSV)** button.
4. Once loaded, the Framework is added to the App's menu bar. Framework Controls are organized into **Areas** (e.g., *Identify, Protect, Detect*) and **Domains** (e.g., *Asset Management*) and **Subdomains** (e.g., *Log Collection*).

Note: Initially, the **Internal Controls** column for these new requirements will show 0, indicating a compliance gap (no mapped Internal Controls).

3. Creating and Mapping Internal Controls

To bridge the gap between a requirement and your operation, you must **map the Framework Control to an Internal Control**.

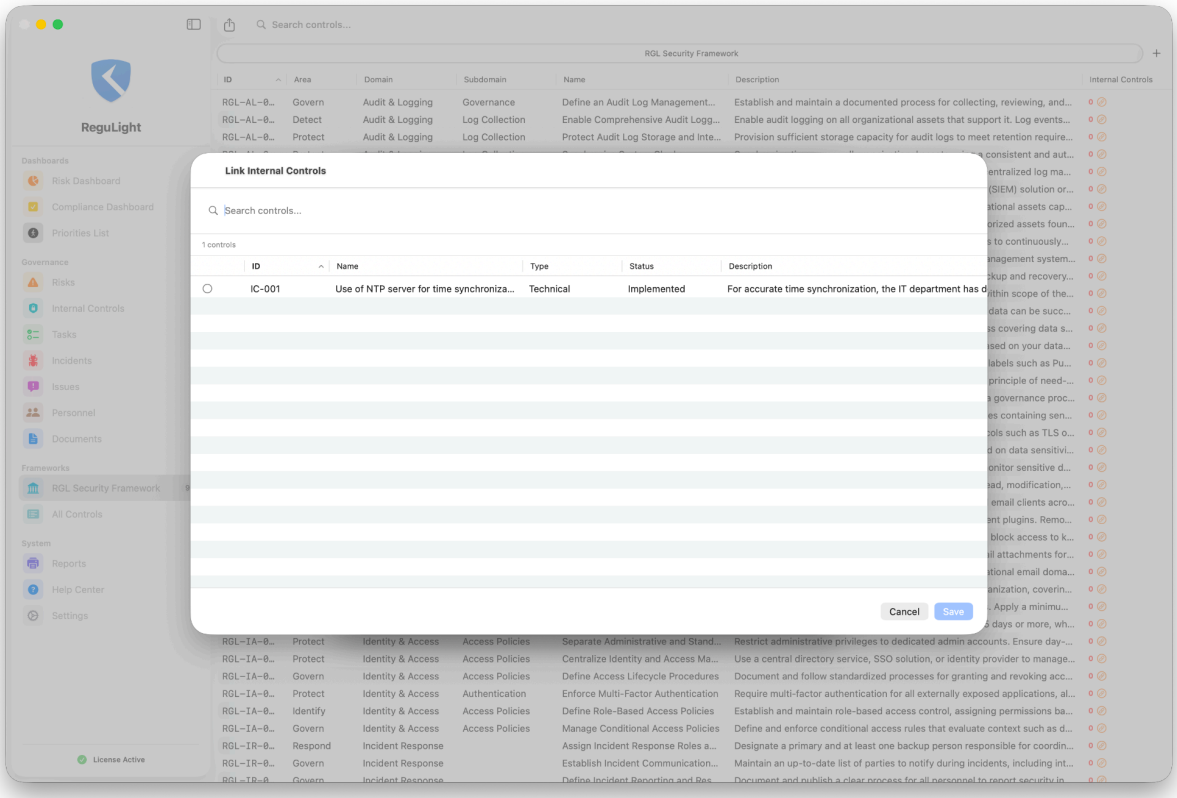




Method A: Via the Internal Control Dialog

If you are creating a new Internal Control from scratch:

1. Go to the **Internal Controls** section in the sidebar.
2. Click **Add Internal Control** (or click the **+** sign in the ReguLight tool bar).
3. In the **Framework Mapping** section of the Add Control dialog, locate the **ReguLight Security Framework** (controls all start with RGL-).
4. Select the relevant Framework Control (e.g., *RGL-AM-004: Synchronize System Clocks*).



Method B: Via the Framework View

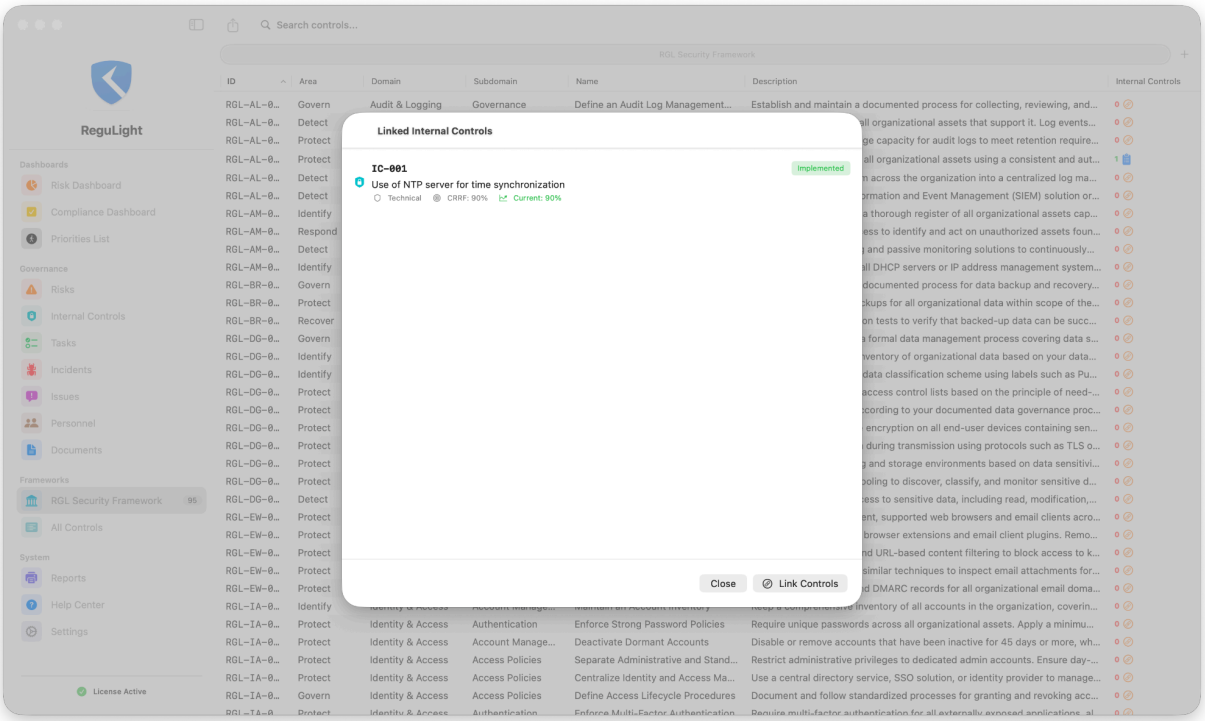
If you are reviewing a specific framework and want to assign existing measures:

1. In the **Framework View**, select a control.
2. Click the **Link** icon in the **Internal Controls** column.
3. Select the appropriate internal measure from the list.

4. Reviewing Compliance Coverage

Once mapped, the **Framework View** becomes your primary verification tool.

- The **Internal Controls** column now displays the number of measures linked to each Framework Control.
- Clicking on the number in the Internal Controls column within this view will take you directly to the **Linked Internal Controls** overview where more Internal Controls can be linked (or removed) and you can drill-down to a filtered view of the Internal Controls list.

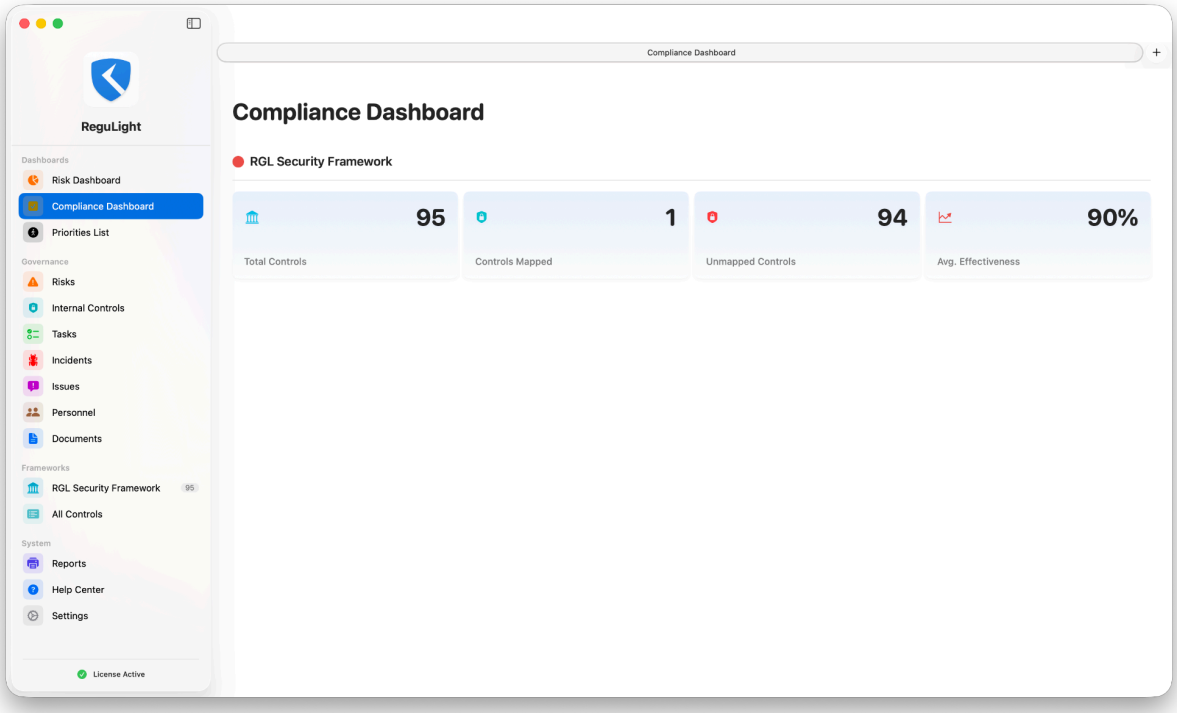


5. Visualizing Status via the Compliance Dashboard

The **Compliance Dashboard** provides a real-time summary of your posture. It tracks:

- **Mapped Controls:** How many requirements have an assigned internal measure.
- **Avg. Effectiveness:** The average effectiveness of linked Internal Controls.

Clicking on the tiles will take you to the filtered lists in the Frameworks section of the App.



6. Understanding Dynamic Effectiveness

A Framework Control - **and therefore compliance** - is only as strong as the Internal Controls supporting it. ReguLight calculates the Internal **Control Effectiveness** dynamically.

The Effectiveness of an Internal Control (percentage) is automatically influenced by:

- **Overdue Tasks:** Are recurring actions (e.g., "Review Asset Log") being completed in time?
- **Issues:** Are there unresolved gaps or failures found during audits?
- **Incidents:** Are there any open incidents related to Internal Controls?
- **Overdue Document Reviews:** Are document review tasks completed in time?

Failure to complete a task or the occurrence of a related incident will automatically lower the effectiveness of the Internal Control, which in turn flags the Framework Control as "At Risk" on your dashboard.

7. Further Learning

The ReguLight App and website are designed to be explored. For deep dives into specific functions and logic:

- **In-App Help Center:** Take the Guided Tour and access detailed articles on *Getting Started, Risk Management, Working with Frameworks, Internal Control Logic* and *Risk Mapping*.

- **Documentation Site:** Visit regulight.eu/docs for general GRC information, ReguLight manuals, diagrams and documentation, and the ReguLight Security Framework CSV file.